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PATENT Attorney Reference Number 6565-66243-01

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ye Liu et al.

**Application No. 10/601,262** 

Filed: June 20, 2003 Confirmation No. 6713

For: POLYMERS FOR THE DELIVERY OF

BIOACTIVE AGENTS AND METHOD OF

THEIR PREPARATION

**Examiner:** 

Art Unit: 2872

Attorney Reference No. 6565-66243-01

COMMISSIONER FOR PATENTS P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

**CERTIFICATE OF MAILING** 

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 223 3-1450 on the

date shown below.

Attorney

for Applicant(s)

Tanya M. Harding, Ph.D.

Date Mailed September 30, 2004

## TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

Supplemental Information Disclosure Statement
Form 1449 and two references cited thereon

The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed. Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLAROVIST SPARKMAN, LLP

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cc: Docketing



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:	)	Group Art Unit:	2872
Ye Liu, Shu Wang and Ghaobin He	)	Ensemble on New York	
Serial No.: 10/601,262	)	Examiner: Not yet a	ssigned
Filed: June 20, 2003	)	Attorney Docket: 65	65/66243/RJI
For: POLYMERS FOR THE DELIVERY OF BIOACTIVE AGENTS	) )	Dated: September 3	0, 2004

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

In accordance with the provisions of 37 CFR 1.56, Applicant hereby makes of record the references set out on the attached form PTO-1449.

A copy of each reference is enclosed.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish otherwise. Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

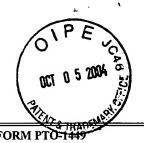
This Supplemental Information Disclosure Statement is being filed before the receipt of a first substantive Office Action. As a result, no fee is required. However, if the Patent Office determines that a fee is required, please charge any such fees to Deposit Account No. 02-4550.

Respectfully submitted,

Tanya M. Harding, Ph.D. Registration No. 42,630

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Attorney Docket Number 6565-66243-01	Application Number 10/601,262		
Applicant Ye Liu e	: al.		

(Use several sheets if necessary)

Filing Date	Group Art Unit		
June 20, 2003	2872		

U.S. PATENT DOCUMENTS						
EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,180,424	Jan. 19/93	Hutter	106	20	

	FOREIGN	PATENT DOC	UMENTS			
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
					YES	NO
4						

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	J. Jagur-Grodzinski, "Biomedical application of functional polymers", <i>Reactive &amp; Functional Polymers</i> , 39 (1999) 99-138.
-	
EXAMINER:	DATE CONSIDERED:

conformance and not considered. Include copy of this form with next communication to applicant.